



**STATE OF HAWAII**  
**PUBLIC UTILITIES COMMISSION**  
**DEPARTMENT OF BUDGET AND FINANCE**  
465 S. KING STREET, #103  
HONOLULU, HAWAII 96813

CARLITO P. CALIBOSO  
CHAIRMAN

WAYNE H. KIMURA  
COMMISSIONER

JANET E. KAWELO  
COMMISSIONER

## **INFORMATION AND INSTRUCTIONS TO FILE PUC ANNUAL FINANCIAL REPORT FOR TELECOMMUNICATION CARRIERS (HAW PUC FORM 97-01)**

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### **1. Where to File Report**

Hawaii Public Utilities Commission  
465 South King Street  
Kekuanaoa Building, Room 103  
Honolulu, Hawaii 96813

### **Where to File Copy**

Under Section 6-80-91(d), Hawaii Administrative Rules, **a copy of this annual report is also required to be filed with the Consumer Advocate:**

Division of Consumer Advocacy  
335 Merchant Street, Room 326  
Honolulu, Hawaii 96813

### **2. Due Date**

The annual financial report must be postmarked or hand delivered to the Commission on or before March 31<sup>st</sup> for the preceding calendar year.

All telecommunications carriers certified or authorized to provide intrastate telecommunications services in the State of Hawaii must file an annual financial report with the Commission for the calendar year, even if the carrier has no revenues to report for the calendar year.

### **3. Extension Request to File Annual Financial Report**

If you cannot complete your report on or before the due date, you may ask for an extension of time to file the report. A request for the extension of time must be postmarked or hand delivered to the Commission on or before March 31<sup>st</sup>. Approval shall be granted for good cause only.

### **4. Failure to File by the Due Date**

Any carrier who fails to file by the due date as noted above shall be subject to a show cause hearing and/or penalty.

5. **Preparation of Annual Financial Report**

- a. The report must be typed or clearly handwritten in blue or black ink. Any report prepared in pencil will be considered incomplete and **shall be rejected**. Tax returns or other financial formats **shall not be accepted**. You may substitute a computerized format in lieu of the prescribed PUC forms. However, the computerized format must be identical to the line-by-line items on the prescribed PUC forms.
- b. The major revenue and expense accounts categories listed on the forms were obtained from FCC, NARUC, and past Annual Financial Reports filed by the existing carriers. If the accounts that you currently utilize do not fit into any of the major account categories listed on these forms, please specify the account and enter the amount in the **Other** account category.
- c. Be sure that the owner, partner, officer or duly authorized representative with the power of attorney signs the Certification page. If it is not signed by the proper authority, the report **shall be rejected**.
- d. The Annual Financial Report must be prepared on a calendar year basis. If the report is prepared on a fiscal year basis, it **shall be rejected**.
- e. If any report is rejected or not accepted for reasons stated above in paragraphs 5a through 5d, it shall be subject to a show cause hearing and/or penalties.

6. **Forms and Instructions**

All the following forms are available on-line at:

<http://www.hawaii.gov/budget/puc/AFRPage.htm>

HAW-PUC Form 97-01 and Instructions

7. **Questions**

If you have any questions, please call the PUC audit section in Honolulu at (808) 586-2020 or visit our web site at <http://www.hawaii.gov/budget/puc/puc.htm>

**HAWAII PUBLIC UTILITIES COMMISSION**

**REQUEST FOR EXTENSION TO FILE  
PUC ANNUAL UTILITY REPORT  
BEYOND THE DUE DATE OF MARCH 31, 20\_\_**

**NOTICE**

1. This extension request must be postmarked on or before March 31<sup>st</sup>.
2. We will not grant additional extensions beyond July 31<sup>st</sup>. A show cause hearing shall be initiated if we do not receive your annual financial report by the approved extended due date or July 31<sup>st</sup> (whichever is earlier).

Name of Company: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Person to Contact: \_\_\_\_\_ Tel No.: \_\_\_\_\_

Extension is requested until \_\_\_\_\_, 20\_\_. (No later than July 31<sup>st</sup>)

Reason for extension (attach separate page if more space is required):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Title \_\_\_\_\_  
(Owner, Partner, Officer, Authorized Agent)

**FOR PUC OFFICE USE ONLY:**

☐ **Approved. Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

☐ **Disapproved. See attached letter.**